



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
-----------------	-------------	----------------------	---------------------	------------------

10/564,375

01/12/2006

Annaliesa S. Anderson

21349YP

7113

210 7590 04/02/2009
MERCK AND CO., INC
P O BOX 2000
RAHWAY, NJ 07065-0907

EXAMINER

DEVI, SARVAMANGALA J N

ART UNIT

PAPER NUMBER

1645

MAIL DATE

DELIVERY MODE

04/02/2009

PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary	Application No. 10/564,375	Applicant(s) ANDERSON ET AL.	
	Examiner S. Devi, Ph.D.	Art Unit 1645	

-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

Period for Reply

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 1 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 06 May 2008.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 1-20 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☐ Claim(s) _____ is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☒ Claim(s) 1-20 are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☐ The drawing(s) filed on _____ is/are: a) ☐ accepted or b) ☐ objected to by the Examiner.
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☐ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☐ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. _____.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- | | |
|--|---|
| 1) <input type="checkbox"/> Notice of References Cited (PTO-892) | 4) <input type="checkbox"/> Interview Summary (PTO-413)
Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948) | 5) <input type="checkbox"/> Notice of Informal Patent Application |
| 3) <input type="checkbox"/> Information Disclosure Statement(s) (PTO/SB/08)
Paper No(s)/Mail Date _____ | 6) <input checked="" type="checkbox"/> Other: <u>Sequence alignment report</u> . |

Lack of Unity

1) Claims 4, 6, 10, 12 and 15 have been amended.

Claims 21-23 have been canceled.

Claims 1-20 are under prosecution.

2) The instant application contains the following inventions or groups of inventions which are not so linked as to form a single general inventive concept under PCT Rule 13.1.

In accordance with 37 C.F.R. 1.499, Applicants are required, in reply to this action, to elect a single invention to which the claims must be restricted.

- I. Claims 1-6 and 9-11, drawn to a hybrid polypeptide immunogen comprising a modified ORF0657n and a composition comprising the same.
- II. Claims 15-17, drawn to a nucleic acid comprising a nucleotide sequence encoding the polypeptide of invention I.
- III. Claim 8, drawn to a method of making a hybrid polypeptide comprising introducing one or more alterations into an ORF0657n sequence segment.
- IV. Claims 12-14, drawn to a method of inducing a protective immune response in a patient by administering the immunogen of invention I.
- V. Claims 18-20, drawn to a method for evaluating the efficacy of an immunogen against *Staphylococcus* comprising inoculating an animal model and challenging.

3) Inventions I-V do not relate to a single general inventive concept under PCT Rule 13.1 because, under PCT Rule 13.2, they lack the same or corresponding special technical features for the following reasons. The special technical feature of the first claimed product is a hybrid polypeptide immunogen comprising a modified, at least 100 amino acid-long ORF0657n sequence segment with one or more alterations that increases sequence similarity to SEQ ID NO: 1. The ORF0657n sequence segment recited in claim 1 lacks a structure limit and therefore can be any at least 100 amino acid-long amino acid sequence comprising one or more alterations that increase sequence similarity to SEQ ID NO: 1. However, such a polypeptide was already disclosed in the prior art. For instance, WO 2003011899 A2 (Foster *et al.* – Applicants' IDS) disclosed an 895 amino acid-long antigenic protein having the amino acid sequence with the accession number ADA89468, for use as a vaccine. The prior art sequence comprises an at least 100 amino acid long segment having

at least one extra amino acid addition thereto that increases its sequence similarity to the instantly recited SEQ ID NO: 1. See claims 1-11 and the attached sequence alignment report. The prior art polypeptide is further provided with a secretion signal peptide or with a T cell epitope peptide/polypeptide and therefore is a fusion/hybrid polypeptide. See lines 22-24 of page 10 and lines 9-12 of page 11 of Foster *et al.* Thus, the product of claim 1 does not define over the prior art. Although the product of invention I, and the method of using the product of invention IV or the method of making the product of invention III, is a permitted combination under PCT Rule 13.2, in the instant case, since the product is already disclosed in the art, the special technical feature is not a unifying feature. Technically, the absence of special technical feature permits the separation of the method of using or making the product from the product itself. The special technical features of the subsequently claimed inventions are delineated above. The second claimed nucleic acid product of invention II does not share significant structural elements with the polypeptide product of invention I. A polypeptide is a single chain molecule which comprises amino acid residues. A nucleic acid molecule comprises purine and pyrimidine units. The methods of inventions III, IV and V do not share significant steps and method objectives.

4) The Office has separated product and process claims based on lack of unity. Where Applicant elects claims directed to the product, and a product claim is subsequently found allowable, withdrawn process claims that depend from or otherwise include all the limitations of the allowable product claim will be rejoined in accordance with the provisions of MPEP § 821.04. *Process claims that depend from or otherwise include all the limitations of the patentable product* will be entered as a matter of right if the amendment is presented prior to final rejection or allowance, whichever is earlier. Amendments submitted after final rejection are governed by 37 CFR 1.116; amendments submitted after allowance are governed by 37 CFR 1.312.

5) In the event of rejoinder, the rejoined process claims will be fully examined for patentability in accordance with 37 CFR 1.104. Thus, to be allowable, the rejoined claims must meet all criteria for patentability including the requirements of 35 U.S.C. § 101, 102, 103, and 112. Until an elected product claim is found allowable, an otherwise proper lack of unity between product claims and process claims may be maintained. Withdrawn process claims that are not commensurate in scope with an allowed product claim will not be rejoined. See ‘Guidance on Treatment of Product and Process Claims in light

of *In re Ochiai*, *In re Brouwer* and 35 U.S.C. § 103(b)', 1184 O.G. 86 (March 26, 1996). Additionally, in order to retain the right to rejoinder in accordance with the above policy, Applicant is advised that the process claims should be amended during prosecution either to maintain dependency on the product claims or to otherwise include the limitations of the product claims. *Failure to do so may result in a loss of the right to rejoinder*. Further, note that the prohibition against double patenting rejections of 35 U.S.C. 121 does not apply where the lack of unity is withdrawn by the examiner before the patent issues. See MPEP § 804.01.

6) This application contains claims directed to more than one species of the generic invention. These species are deemed to lack unity of invention because they are not so linked as to form a single general inventive concept under PCT Rule 13.1 because under PCT Rule 13.2, the species lack the same or corresponding special technical features as these species do not share *significant* common amino acid alterations.

Modified amino acid sequence species:

- (a) SEQ ID NO: 2 containing at least 8 amino acid alterations as recited (claims 2 and 3);
- (b) SEQ ID NO: 3 containing at least 8 amino acid alterations as recited (claim 2);
- (c) SEQ ID NO: 4 containing at least 8 amino acid alterations as recited (claim 2);
- (d) SEQ ID NO: 5 containing at least 8 amino acid alterations as recited (claim 2);
- (e) SEQ ID NO: 6 containing at least 8 amino acid alterations as recited (claim 2);
- (f) SEQ ID NO: 58 with any one specific combination of 20 amino acid alterations (claim 4);
- (g) SEQ ID NO: 58 with any one specific combination of 55 amino acid alterations (claim 6); and
- (h) Any one of SEQ ID NO: 8 through 43 (claim 7);

Claims 1, 5, 6 and 9-11 are generic.

7) Applicant is required under 35 U.S.C 121 to elect a single disclosed species for prosecution on the merits to which the claims shall be restricted if no generic claim is finally held to be allowable.

Applicant is advised that the reply to this requirement to be complete must include (i) an election of a species to be examined even though the requirement may be traversed (37 CFR 1.143) and (ii) identification of the claims encompassing the elected species, including any claims

subsequently added. An argument that a claim is allowable or that all claims are generic is considered nonresponsive unless accompanied by an election.

8) The election of species may be made with or without traverse. To preserve a right to petition, the election must be made with traverse. If the reply does not distinctly and specifically point out supposed errors in the election of species requirement, the election shall be treated as an election without traverse. Traversal must be presented at the time of election in order to be considered timely. Failure to timely traverse the requirement will result in the loss of right to petition under 37 CFR 1.144. If claims are added after the election, Applicant must indicate which of these claims are readable on the elected species.

Should Applicants traverse on the ground that the species are not patentably distinct, Applicants should submit evidence or identify such evidence now of record, showing the species to be obvious variants or clearly admit on the record that this is the case. In either instance, if the Examiner finds one of the inventions unpatentable over the prior art, the evidence or admission may be used in a rejection under 35 U.S.C § 103(a) of the other invention.

9) Papers related to this application may be submitted to Group 1600, AU 1645 by facsimile transmission. Papers should be transmitted to the USPTO's central RightFax number (571) 273-8300, which receives transmissions 24 hours a day and 7 days a week.

10) Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAG or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.Mov>. Should you have questions on access to the Private PAA system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (in USA or CANADA) or 571-272-1000.

11) Any inquiry concerning this communication or earlier communications from the Examiner should be directed to S. Devi, Ph.D., whose telephone number is (571) 272-0854. A message may be left on the Examiner's voice mail system. The Examiner can normally be reached

Art Unit: 1645
March 2009

on Monday to Friday from 7.15 a.m. to 4.15 p.m. except one day each bi-week, which would be disclosed on the Examiner's voice mail system.

If attempts to reach the Examiner by telephone are unsuccessful, the Examiner's supervisor, Robert Mondesi, can be reached on (571) 272-0956.

/S. Devi/
Primary Examiner
AU 1645

March, 2009